

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

55320.000104

U.S. APPLICATION NO. 10/514625  
To Be Assigned

INTERNATIONAL APPLICATION NO.  
PCT/DK03/00331

INTERNATIONAL FILING DATE  
19 May 2003

PRIORITY DATE CLAIMED  
17 May 2002; 18 July 2002

TITLE OF INVENTION

**AMINO-FUNCTIONAL CHALCONES**

APPLICANT(S) FOR DO/EO/US

**Simon Feldbæk NIELSEN et al.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A Preliminary Amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

Copy of Form PCT/IB/308

Copy of WO 03/097575 A2

Copies of Forms PCT/IPEA 416 and PCT/IPEA 409

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.17) <b>To Be Assigned</b>		INTERNATIONAL APPLICATION NO. <b>PCT/DK03/00331</b>		ATTORNEY'S DOCKET NUMBER <b>55320.000104</b>	
21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5)):</b>  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1080.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$920.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$770.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS PTO USE ONLY</b>	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	20 - 20 =		x \$ 18.00	\$	
Independent claims	2 - 3 =		x \$ 86.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$290.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$920.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				+	\$
<b>SUBTOTAL =</b>				<b>\$920.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				<b>\$</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property				+	\$
<b>TOTAL FEES ENCLOSED =</b>				<b>\$920.00</b>	
				<b>Amount to be refunded:</b>	\$
				<b>charged:</b>	\$
a. <input checked="" type="checkbox"/> A check in the amount of <u>\$920.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge Deposit Account No. <u>50-0206</u> in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0206</u> A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b> <b>SEND ALL CORRESPONDENCE TO:</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 40%;"> <b>CUSTOMER NO. 21967</b>            Intellectual Property Department            Hunton &amp; Williams LLP            1900 K Street, N.W.; Suite 1200            Washington, DC 20006-1109            (202) 955-1500 (telephone)            (202) 778-2201 (facsimile)         </div> <div style="width: 55%;"> <div style="border-bottom: 1px solid black; margin-bottom: 5px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">SIGNATURE</div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">Stanislaus Aksman</div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">NAME</div> <div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">28,562</div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">REGISTRATION NUMBER</div> </div> <div style="width: 35%;"> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">November 17, 2004</div> <div style="border-bottom: 1px solid black; margin-bottom: 5px;">DATE</div> </div> </div> </div> </div>					

DT07 Rec'd PCT/PTO 17 NOV 2004  
Return When Serial Number is Assigned

Please return this card, indicating receipt date and Serial No., if applicable, of the following

**PATENT**

☐ PROVISIONAL  
☒ UTILITY

☐ DESIGN  
☐ PCT

Application No.: TBA  
Client/Matter No.: 55320.000103

Date: NOVEMBER 17, 2004  
Client: LICA PHARMACEUTICALS A/S

Inventor(s): SIMON FELDBÆK NIELSEN ET AL.

Atty/Sec.: SA/abs

Title: AMINOALKOXY-FUNCTIONAL CHALCONES

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

- ☒ TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 (IN DUPLICATE)
- ☒ PRELIMINARY AMENDMENT
- ☒ UNEXECUTED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION
- ☒ COPY OF FORM PCT/IB/308
- ☒ COPY OF WO 03/097574 A2
- ☒ COPY OF FORM PCT/IPEA/409
- ☒ Copy of Form PCT/IPEA/416
- ☒ Check No. 2062927 for \$920.00

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NOV 29 2004